One client view.
One system.
One journey.

Private Wealth

iress.com/private-wealth

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Tell me how it will help me

In an increasingly competitive and regulated industry, providing investment management services to private clients is becoming increasingly complex and challenging.

The technology you use needs to enable the breadth of service you offer, streamline your operations to remove complexity, reduce administration burden (giving you more time to focus on clients) and re-use data to provide a single client view.

We're making it easier with software that helps you maximise every decision, monitor and manage risks, streamline reporting, and keep costs down.

Tell me what it actually does

This 100% web-based, fully hosted and managed software, provides a technology solution tailored to your specific client and workflow requirements.

Private Wealth seamlessly integrates client and portfolio management, together with advice and order execution.

With one client view, Private Wealth supports your entire service proposition, saves you time and reduces risk.

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Can you break it down for me?

Adviser interface

Supporting the full spectrum of adviser and client functionality, this multi-device, single sign-on access supports the full spectrum of functionality you require as an adviser. You can tailor your desktop (or range of desktops) to suit your individual business and channel requirements.

You can customise elements of the user interface, data fields, document templates, reporting and workflow to fully support your business model and processes.

Client relationship management (CRM)

From discrete client data collection to complete campaign management, this solution offers an intuitive, and integrated suite of tools to manage both your clients, and your business. It combines alerts, actions, and data, to deliver clear and efficient workflow for the adviser, and business at large. Manage your diary, tasks and workflow easily with Outlook integration.

Advice creation

The software has comprehensive tools that enable the end to end advice process supporting all entity and client types.

'Know your client' fact finds and risk profiling, scenario and portfolio modelling tools support considered strategy recommendations.

Extensive research on investment and protection products allow for comparisons ahead of selection ahead of creation and audit of advice documentation.

Portfolio management

Design, construct, and manage simple to complex portfolios, to suit your client's individual needs. This software brings together all assets, regardless of the type or product provider, to give you a complete view of your client's financial position via a range of datafeed options.

You can analyse portfolio risk, re-balance portfolios (individually or in groups), calculate fees and distributions and manage tax.

A flexible reporting framework allows for reporting on all aspects of a portfolio, and at all levels—client, portfolio, sector, instrument.

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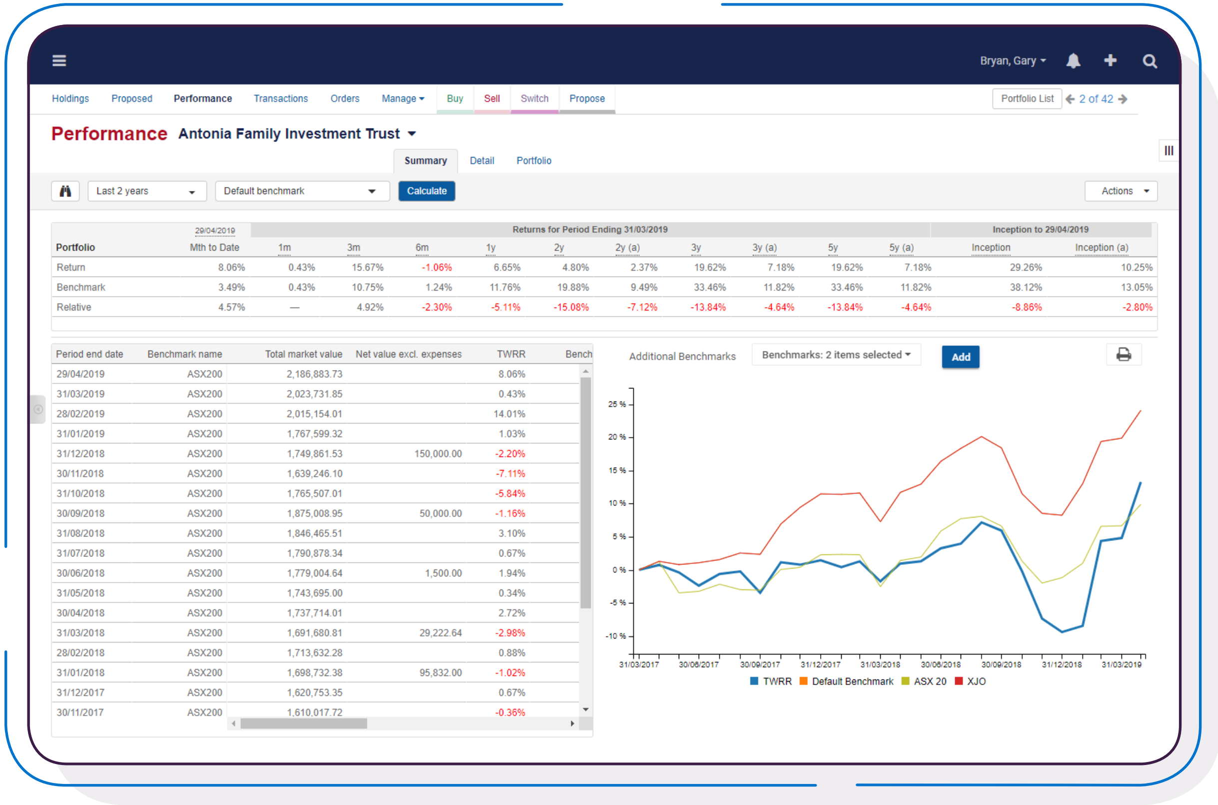

See how investment strategies are tracking to agreed benchmarks.

Order management

The integrated order execution and trade allocation capability allows quick implementation of client portfolio changes, with pre- and post-trade compliance checks, ensuring a transparent end to end flow of information, which simplifies regulatory reporting.

Advisers can create orders ad-hoc, in bulk or by multi-selection based on targets or manually set values. With broker connectivity, orders can achieve direct market access with trade volumes automatically allocated back to the portfolio.

Compliance management

Ongoing monitoring of client portfolios and orders ensures investments are inline with agreed client and organisational limits.

Client interface

You can offer your clients a contemporary and easy to navigate client portal to access their financial information and interact directly with your business via secure two-way messaging.

You select from a suite of widgets that provide information and functionality for your clients in the front-end interface. The back-end interface is built on a sophisticated, user-friendly, enterprise-grade content management system that draws on data in your existing Iress systems.

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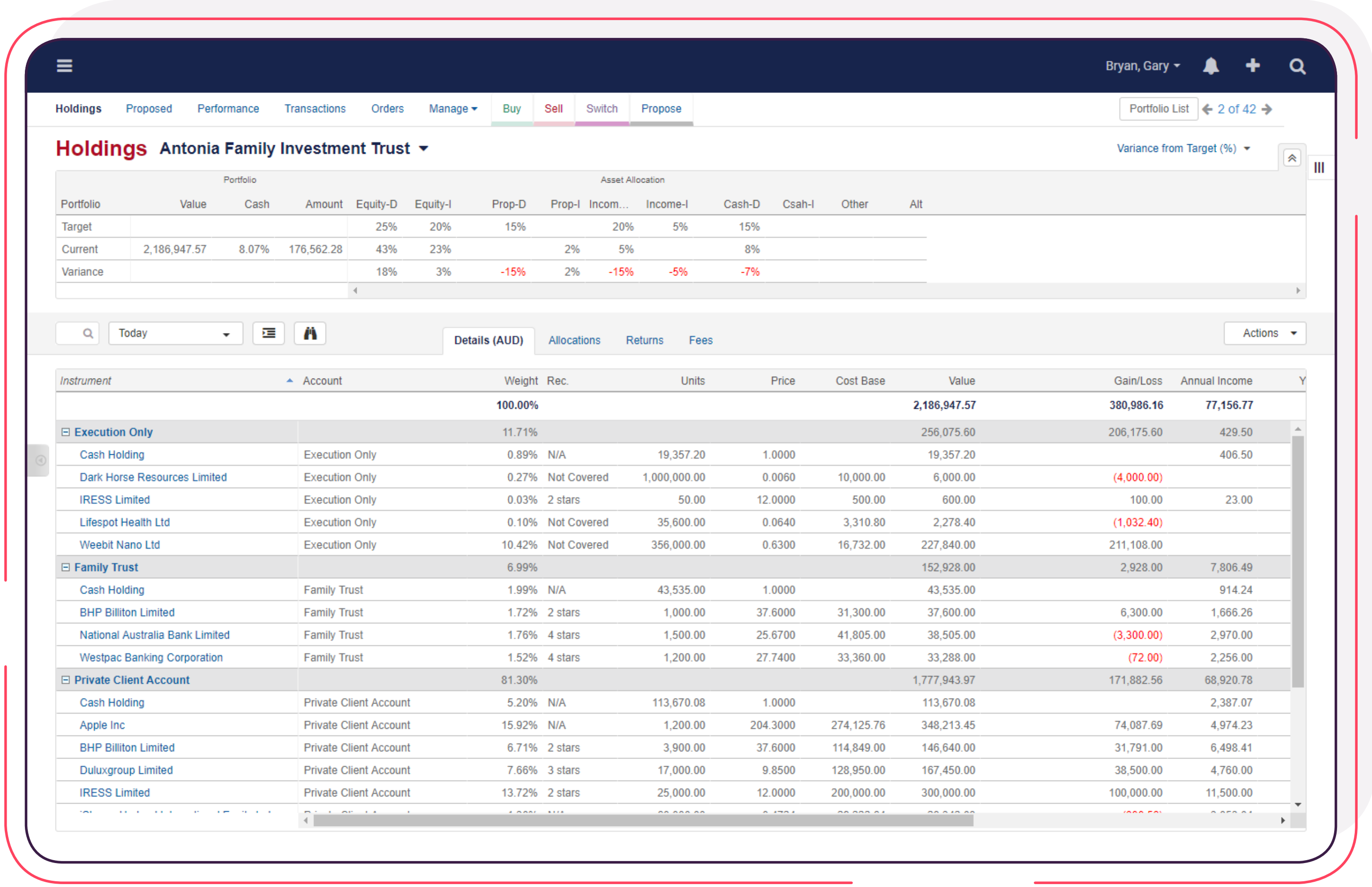


A holistic view of your client's wealth.

Compatibility & integration

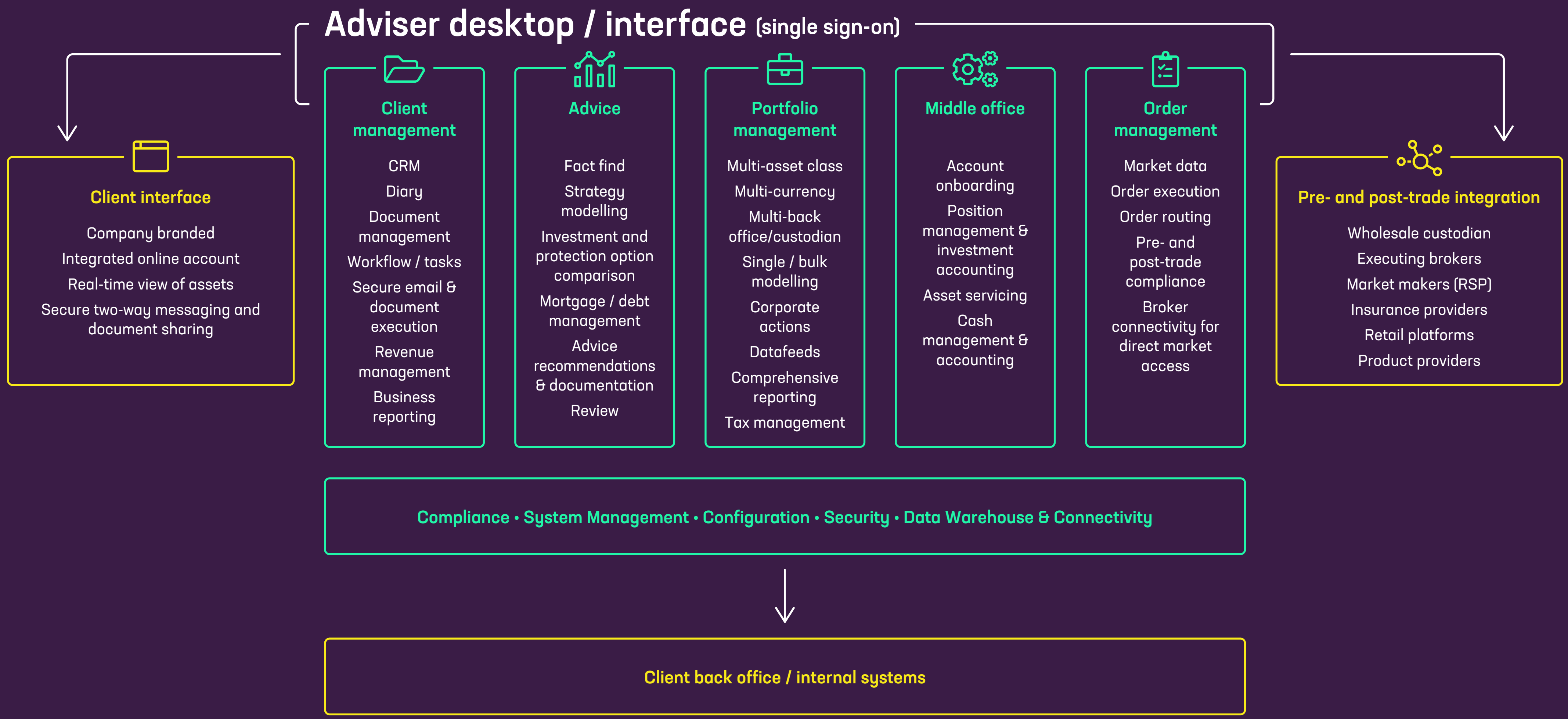
Iress Private Wealth is compatible with the following software:

- Microsoft Outlook
- Broker networks
- Market data exchanges
- Global order routing systems
- Iress Viewpoint
- Iress Pro

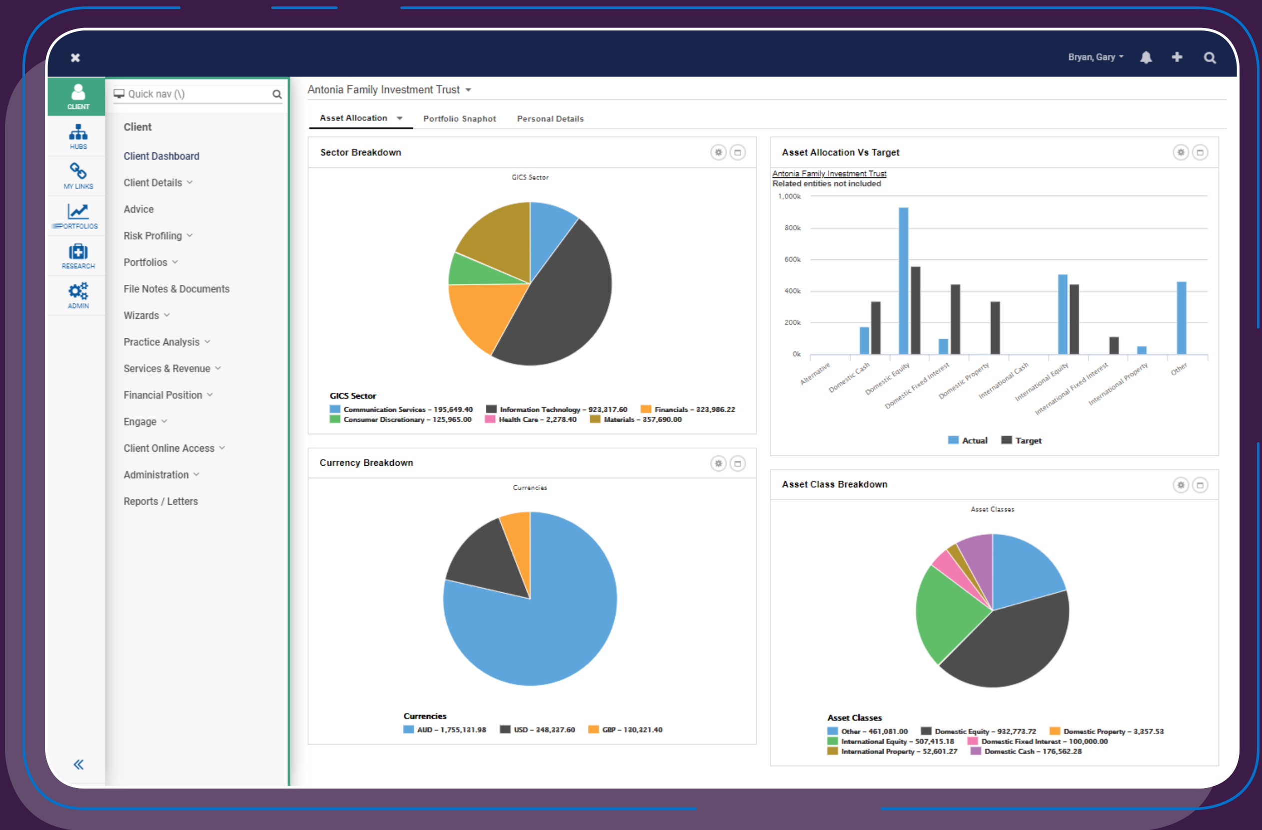
Business management

Effectively manage your business workflow to ensure all processes are assigned, tracked and completed—with full management reporting capability. Monitor and manage your sales pipeline, create and define client service benchmarks and monitor activity against them. Access or create a variety of detailed reports and dashboard views.

Can you draw a picture for me?



Give me six reasons why I should use it



Summary dashboard of key client information.

1 One adviser desktop

Single sign on to enable delivery of your entire client proposition, which reduces time, effort and angst.

2 One client file

All client information, documents and records across your service proposition in one central client file.

3 One end-to-end solution

Seamlessly integrates client and portfolio management, advice and order execution, which reduces business risk and operational silos.

4 Predictable costs

Licensee fees based on users provides a credible alternative to high cost deployed software.

5 Flexible implementation

Can be phased to support progressive transition from legacy systems and de-risk change.

6 Keeping pace

Significant ongoing investment to ensure the software meets regulatory standards and functional relevance in a changing industry.

BR710119 v1

What about service and support?

We understand providing great service and support goes hand-in-hand with delivering great software.

We pride ourselves on our thorough and timely implementations, reliable and scalable hosting services, robust back-up and recovery procedures, and ISO/IEC certified information security management.

Our expert-led support team, training programs and online user community all help our users get the most out of our software.

How does Iress stack up as a technology partner?

Every day, more than 9,000 financial services businesses use our software to make better decisions and deliver better results. We know they don't just expect software that works, they also want a technology partner that works with them.

Leveraging our industry knowledge and global experience working with clients just like you, we design, develop and deliver creative, reliable software that does what you need it to.

At Iress, we believe technology should help people perform better every day.

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